

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: BRZOSTOWICZ ET AL.

CASE NO.:

CL1903 US NA

APPLICATION NO.:

09/941,947

CONFIRMATION NO.:

GROUP ART UNIT:

1645

EXAMINER: UNKNOWN

FILED: AUGUST 29, 2001

FOR:

CARTENOID PRODUCTION FROM A SINGLE CARBON SUBSTRATE

INFORMATION DISCLOSURE STATEMENT

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Assistant Commissioner for Patents Washington, D.C. 20231

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In compliance with 37 CFR 1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office information listed on the enclosed PTO/SB/08A and PTO/SB/08B. A copy of the information is also enclosed.

In accordance with the provision of 37 CFR 1.97(e)(i), I hereby certify that the items of information contained in this Information Disclosure Statement were cited in a communication from a foreign application not more than three months prior to the filing of this statement.

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Respectfully submitted,

S. NEIL FELTHAM

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PTO/SB/08A (10-01)
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Sheet 1 of 2

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| Application Number | 09/941,947 | | | |
| Filing Date | AUGUST 29, 2001 | | | |
| First Named Inventor | BRZOSTOWICZ ET AL. | | | |
| Group Art Unit | 1645 | | | |
| Examiner Name | UNKNOWN | | | |
| Attorney Docket Number | CL1903 US NA | | | |

| | | | U.S. PATE | NT DOCUMENTS | | |
|------------------------------|--|--------------|--|-----------------------------|--|---|
| Examiner Cite Initials * No. | | Cite No.1 | Document Number Number – Kind Code ² (if known | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| | | wo | 0220733 | A2 | 3/14/2002 | DuPont | | |
| | | WO | 9907867 | A1 | 2/18/1999 | Calgene LLC | | I |
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| Examiner Name | UNKNOWN |
| Attorney Docket Number | CL1903 US NA |

| | OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS | | | | | | | | |
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| | Examiner Initials * No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-iss number(s), publisher, city and/or country where published. | | | | | | | | |
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